

VITA

JOHN H. MUTTI

Department of Economics
1115 Eighth Avenue, Box 5866
Grinnell College
Grinnell, IA 50112
641-269-3143 or 3059 or 3141
FAX 641-269-4985
mutti@grinnell.edu

ACADEMIC BACKGROUND

London School of Economics, 1966-67
Earlham College, B.A. 1968
University of Wisconsin, M.A. 1971; Ph.D. 1974
Dissertation: The Employment and Welfare Effects of Foreign
Trade Policy

AWARDS

Phi Beta Kappa; 1st Honor Student, Earlham College, 1968
National Science Foundation National Graduate Fellow, 1970-1973
University of Wisconsin Vilas Fellow, 1973-1974
University of Wyoming Outstanding Teacher, College of
Commerce and Industry, 1986-87

EMPLOYMENT

Cooperativa Agropecuaria del Magdalena, Colombia, Peace Corps, 1968-70
Assistant Professor of Economics, University of Wyoming, 1974-77
International Economist, Office of International Tax Affairs,
U.S. Treasury Department, 1977-78 and 1983
Associate Professor of Economics, University of Wyoming, 1978-82
Director of Graduate Studies, 1978-79, Department Chair 1979-82
Professor of Economics, University of Wyoming, 1982-87
Senior Staff Economist, Council of Economic Advisers, 1985-1986
International Trade Policy Issues

Sidney Meyer Professor of International Economics, Grinnell College, 1987 to present
Chair of the Faculty, 1996-1998; Social Studies Division Chair 2001-2003

Visiting Professor, University of Pittsburgh/Semester at Sea, 1992

Stanley Surrey Visiting Research Fellow, U.S. Treasury Department, 2000

Visiting Senior Fellow, Institute for International Economics, 2001

COURSES TAUGHT

International Trade, International Finance, Public Finance, Development Economics, Korea's Economic Development, Intermediate Microeconomics, Intermediate Macroeconomics, Macroeconomic Principles, Microeconomic Principles, Introduction to Economics, International Trade Seminar, International Finance Seminar, Financial Policy Workshop, Advanced International Trade Theory

BOOKS AND MONOGRAPHS

Taxes, Subsidies and Competitiveness Internationally, National Planning Association, February 1982.

Changing Patterns of U.S. Industrial Activity and Comparative Advantage, with Peter Morici, National Planning Association, October 1983.

U.S. Adjustment Policies in Trade Impacted Industries, National Planning Association, October 1985.

The Taxation of Income from Business and Capital in Colombia, with Charles E. McLure, Victor Thuronyi, and George Zodrow, Duke University Press 1989. Also available in Spanish, La tributación de la renta proveniente de los negocios y del capital en Colombia.

Study Guide to Lipsey/Courant/Ragan Economics, 9th - 12th eds., with Fredric Menz, Harper Collins/Addison Wesley Longman, 1990, 1993, 1996, and 1999.

NAFTA: What Have Been the Economic Consequences for Mexico and the United States? Economic Strategy Institute, 2001

Taxing International Business Income: Dividend Exemption Versus the Current System, with Harry Grubert, American Enterprise Institute, 2001

Foreign Direct Investment and Tax Competition, Institute for International Economics, 2004

International Economics, 5th & 6th Ed., with Robert Dunn, Routledge, 2000, 2004. (Also available in Chinese.) 7th Ed. With Theo Eicher and Michelle Turnovsky, 2009.

PAPERS PUBLISHED

"Policy Problems in the Adjustment Process, the U.S.," with Robert Baldwin, in Prospects for Partnership, ed., Helen Hughes (Baltimore: Johns Hopkins Press, 1973) pp. 149-186.

"Industrial Displacement through Environmental Controls: The International Competitive Aspects," with J.D. Richardson, in Studies in International Environmental Economics, ed., Ingo Walter (New York: Wiley, 1976) pp. 57-102.

"Airline Travel on the North Atlantic: Is Profitability Possible?" with Y. Murai, Journal of Transport Economics and Policy, Vol.11, No. 1 (January 1977) pp. 45-53.

"International Competitive Displacements from Environmental Controls: The Quantitative Gains from Methodological Refinement," with J.D. Richardson, Journal of Environmental Economics and Management, Vol. 4, No. 2 (June 1977) pp. 135-152.

"The Specification of Demand Equations for Imports and Domestic Substitutes," Southern Economic Journal, Vol. 44, No. 1 (July 1977) pp. 68-73.

"Aspects of Unilateral Trade Policy and Factor Adjustment Costs," Review of Economics and Statistics, Vol. 60, No. 1 (May 1978) pp. 102-110.

"Income Losses, Compensation, and Trade Policy," with Malcolm Bale, Journal of Human Resources, Vol. 13, No. 2 (Spring, 1978) pp. 278-285.

"Welfare Effects of Multilateral Tariff Reductions," Southern Economic Journal, Vol. 45, No. 3 (January 1979) pp. 760-772.

"Crucial Issues for Current International Trade Policy," with R.E. Baldwin and J.D. Richardson, in The New International Economic Order, A U.S. Response, ed., David B.H. Denoon (New York: New York University Press, 1979) pp. 35-77.

"Illegal Immigration: Key Issues for Government Policy," with Shelby Gerking, Social Science Quarterly, Vol. 61, No. 1, (June 1980) pp. 71-85.

- "The American Presence Abroad and U.S. Exports," Southern Economic Journal, Vol. 47, No. 1 (July 1980) pp. 40-51.
- "Welfare Effects on the U.S. of a Significant Multilateral Tariff Reduction," with R.E. Baldwin and J.D. Richardson, Journal of International Economics, Vol. 10, No. 3 (August 1980) pp. 405-423.
- "Employment Changes and the Food Stamp Program: Evaluating the Impact of Increased Coal Production," with Ken Griffin, Evaluation Review, Vol. 5, No. 2 (April 1981) pp. 245-258.
- "Output and Employment Changes in a Trade Sensitive Sector: Adjustment in the U.S. Footwear Industry," with Malcolm Bale, Weltwirtschaftliches Archiv, Vol. 117, No. 2 (June 1981) pp. 352-367.
- "Tax Incentives and the Repatriation Decisions of U.S. Multinational Corporations," National Tax Journal, Vol. 34, No. 2 (June 1981) pp. 241-248.
- "Shifting, Incidence and Interstate Exportation of Production Taxes on Energy Resources," with William Morgan, Land Economics, Vol. 57, No. 3 (August 1981) pp. 422-435.
- "Possibilities for the Exportation of Production Taxes: A General Equilibrium Analysis," with Shelby Gerking, Journal of Public Economics, Vol. 16, No. 2 (October 1981) pp. 233-252.
- "Regional Analysis from the Standpoint of International Trade: Is it a Useful Perspective?" International Regional Science Review, Vol. 6, No. 2 (Winter 1981) pp. 95-120.
- "Factor Rewards and the International Migration of Unskilled Labor: A Model with Capital Mobility," with Shelby Gerking, Journal of International Economics, Vol. 14, No. 3/4 (May 1983) pp. 367-380.
- "Changing Energy Prices and Economic Rents," with William Morgan, Land Economics, Vol. 59, No. 2 (May 1983) pp. 367-380.
- "Changes in Income Distribution and Welfare from Greater Immigration of Unskilled Workers," with Shelby Gerking, European Economic Review, Vol. 23, No. 1 (September 1983) pp. 103-116.
- "The Exportation of State and Local Taxes in a Multilateral Framework: The Case of

- Household Type Taxes," with William Morgan, National Tax Journal, Vol. 36, No. 4 (December 1983) pp. 459-476.
- "Multinational Corporations and Discriminatory Investment Controls," with Shelby Gerking, Weltwirtschaftliches Archiv, Vol. 119, No. 4 (December 1983) pp. 649-662.
- "Subsidized Production, World Steel Trade, and Countervailing Duties," Southern Economic Journal, Vol. 50, No. 3 (January 1984) pp. 871-880.
- "DISC and Its Effects," with Harry Grubert, in The Structure and Evolution of Recent U.S. Trade Policy, eds., R. Baldwin and A. Krueger (Chicago: National Bureau of Economic Research, University of Chicago Press, 1984) pp. 279-317.
- "International Implications of the Treasury Tax Reform Proposal," Tax Notes, Vol. 27, No. 5 (April 1985) pp. 521-525.
- "The Exportation of State and Local Taxes in a Multilateral Framework: The Case of Business Type Taxes," with William Morgan, National Tax Journal, Vol. 38, No. 2 (June 1985) pp. 191-208.
- "Interregional Energy Tax Exportation: An Interpretive Survey," with William Morgan, The Energy Journal, Vol. 6 (Special Tax Issue, 1985) pp. 203-224.
- "The Taxation of Capital Income in an Open Economy: The Importance of Resident-Nonresident Tax Treatment," with Harry Grubert, Journal of Public Economics, Vol. 27, (August 1985) pp. 291-309.
- "Interstate Tax Exportation Within the United States: An Appraisal of the Literature," with William Morgan, International Regional Science Review, Vol. 10, No. 2 (August 1986) pp. 89-112.
- "Taxes, International Capital Flows and Trade: the International Implications of the Tax Reform Act of 1986" with Harry Grubert, National Tax Journal, Vol. 40, No.3 (September 1987) pp. 315-329. A modified version appears as "International Implications of the Tax Reform Act of 1986," in Taxation and Economic Development: a Conference in Hungary (Knoxville: University of Tennessee, 1988) pp. 61-92. Also available in Hungarian.
- "The Impact of the Tax Reform Act of 1986 on the International Position of the United States," with Harry Grubert, Treasury Compendium of Tax Research, (Washington, D.C.: U.S. Treasury Department, 1987) pp. 229-252.

- "International Capital Flows and the Position of U.S. Agriculture: Some Policy Aspects", in Increasing Understanding of Public Problems and Policies - 1987, The Farm Foundation, Oak Brook, IL, 1988, pp. 81-91.
- "U.S. Taxes and Trade Performance," with Harry Grubert, National Tax Journal, Vol. 41, No.3 (September 1988) pp. 317-25.
- "The Incidence of Regional Taxes in a General Equilibrium Framework," with William Morgan and Mark Partridge, Journal of Public Economics, Vol. 39, No. 1 (June 1989) 83-107.
- "A Regional General Equilibrium Model of the U.S.: Tax Effects on Factor Movements and Regional Production," with William Morgan and Mark Partridge, Review of Economics and Statistics, Vol. 71, No. 4 (November 1989) 626-635.
- "Current U.S. Foreign Tax Policy," in U.S. Foreign Tax Policy and the Global Economy, New Directions for the 1990s, Institute for Research on the Economics of Taxation, Washington, D.C., 1989.
- "Taxes, Tariffs and Transfer Pricing in Multinational Corporate Decision Making," with Harry Grubert, Review of Economics and Statistics, Vol. 73, No. 2 (May 1991) 285-293.
- "Alternative Measures of Canadian-American Foreign Direct Investment and Trade in Evaluating Tax Policy," with Harry Grubert, in International Economic Transactions: Issues in Measurement and Empirical Research, eds., P. Hooper and J.D. Richardson (Chicago: National Bureau of Economic Research, University of Chicago Press, 1991) pp. 292-317.
- "Intellectual Property Protection in the United States under Section 337," The World Economy, Vol. 16, No. 3 (May 1993) pp. 339-357.
- "Trade Related Investment Measures, Policy Change and the Role of the GATT," in Analytical and Negotiating Issues in the Global Trading System, eds., A. Deardorff and R. Stern (Ann Arbor: University of Michigan Press, 1994) pp. 455-492.
- "International Aspects of Corporate Tax Integration: the Contrasting Role of Debt and Equity Flows," with Harry Grubert, The National Tax Journal, Vol 47, No. 1 (March 1994) pp.111-133.
- "Taxing Multinationals in a World with Portfolio Flows and R&D: Is Capital Export Neutrality Obsolete?" with Harry Grubert, International Tax and Public Finance, 2: (1995) 439-457.

- "Tax Exporting, Regional Economic Growth, and Welfare," with William Morgan and Dan Rickman, Journal of Urban Economics, Vol. 39, No. 2 (March 1996) pp. 131-159.
- "Section 337 and the Protection of Intellectual Property in the United States: the Complainants and the Impact," with Bernard Yeung, Review of Economics and Statistics, Vol 78, No. 3 (August 1996) pp. 510-520.
- "Economic Policy and Democratization in the Former Communist States," in Democratic Theory and Post-Communist Change, ed., R. Grey (New York: Prentice Hall, 1997) pp.217-247.
- "Section 337 and the Protection of Intellectual Property in the United States: the Effect on R&D Spending," with Bernard Yeung, in Quiet Pioneering, Robert M. Stern and His International Economic Legacy, eds., K. Maskus, P. Hooper, E. Leamer, and D. Richardson (Ann Arbor: University of Michigan Press, 1997) pp. 71-94.
- "The Significance of International Tax Rules for Sourcing Income: The Relationship between Income Taxes and Trade Taxes," with Harry Grubert, in Geography and Ownership as Bases for Economic Accounting, eds., R. Baldwin, R.E. Lipsey, and J.D. Richardson (Chicago: University of Chicago Press, 1998) pp.259-280.
- "Effects of the Uruguay Round: Empirical Evidence from U.S. Industry," with Bernard Yeung and Rachelle Sampson, Contemporary Economic Policy, Vol 18, No. 1 (January 2000) pp.59-69.
- "Do Taxes Influence Where U.S. Corporations Invest?" with Harry Grubert, National Tax Journal, Vol. 53, No. 4 (December 2000) pp. 825-839.
- "The Influence of Taxation on Industrial Location," Journal of the Flagstaff Institute, Vol. 26, No. 1 (June 2002) pp. 17-27.
- "Empirical Asymmetries in Foreign Direct Investment and Taxation," with Harry Grubert, Journal of International Economics, Vol. 62, No. 2 (March 2004) pp. 337-358.
- "Economic Processing Zones: Removing Trade and Tax Obstacles to Growth," Journal of the Flagstaff Institute, Vol. 28, No. 1 (June 2004) pp. 23-42.
- "The Effects of Taxes on Royalties and the Migration of Intangible Assets Abroad," with Harry Grubert, in International Flows of Invisibles: Trade in Services and Intangibles in the Era of Globalization, eds., M. Slaughter and M. Reinsdorf (Chicago:

University of Chicago Press, 2009) pp. 111- 137.

ECONOMIC CONSULTANT/RESEARCH GRANTS

U.S. Department of State, Trade Agreements Division, 1973-1974, Employment and Welfare Effects of Trade Policy

U.S. Department of Labor, Bureau of International Labor Affairs, 1975, Price Responsiveness in Import and Domestic Markets

U.S. Department of the Treasury, International Tax Analysis, 1979, Intra-firm Financial Flows of Multinational Corporations

U.S. Department of Health, Education and Welfare, 1978-1979, Competitive Implications of Health Care Programs

Kansas State Legislature and Western Governors' Policy Conference, 1981-1982, Mineral Severance Taxes

U.S. Department of the Treasury, 1983-85, Tax Reform Issues

Ford Foundation, 1984-1985, International Competitiveness and Growth with Internationally Mobile Capital

Volume Shoe Corporation, 1984-1995, Section 201 Escape Clause Relief Case, Taiwanese and Chinese Trade Issues

Government of Colombia, Dirección de Impuestos Nacionales, 1987-1988, Tax Reform Issues

U.S. Agency for International Development, Indonesian Ministry of Foreign Trade: 1990, Trade Policy Workshops; 1992 International Trade Research Office

U.S. Agency for International Development, Guatemalan Ministerio de Finanzas Públicas, 1990, Tax Reform Issues

PROPOSAL REVIEWS AND REFEREEING

Ford Foundation, National Science Foundation, National Academy of Science, Joint Center for Political Studies, MIT University Press, Cambridge University Press, University of Wisconsin Press, Government of Canada Networks of Centres of Excellence, Social Sciences

and Humanities Research Council of Canada, Institute for International Economics, Land Economics, Journal of Environmental Economics and Management, American Journal of Agricultural Economics, Quarterly Journal of Economics, Journal of International Economics, Review of Economics and Statistics, Urban Studies, International Regional Science Review, Southern Economic Journal, Quarterly Review of Business and Economics, European Economic Review, Public Finance Quarterly, National Tax Journal, American Economic Review, Journal of Public Economics, Review of International Economics, International Economic Review, Canadian Journal of Economics, Journal of Economic Education, The World Economy, International Economic Journal, Resource and Energy Economics, Journal of Regional Science, Oxford Economic Papers, Journal of the Japanese and International Economies, International Tax and Public Finance, World Trade Review, Journal of Behavior and Organization,